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FEB 09 2002

To: **Examiner Flores Ruiz**  
Firm: U.S. Patent and Trademark Office

Fax #: 1-703-308-7721

Date: February 9, 2002

TECHNOLOGY CENTER 2800

From: Hal R. Yeager

C/M #: 7742-34

Total Pages: (including this page)  
Operator:

Time Sent: 5:28 AM

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**DO NOT ENTER – Deliver directly to Examiner Delma R. Flores Ruiz**

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Dear Examiner Flores Ruiz,

New week we will file a Supplemental Information Disclosure Statement for USSN 09/513,702. As you know, we have an interview with you for this case on Tuesday, February 12, 2002, approximately 2 PM.

The Supplemental IDS list 52 additional references. In case you feel that you would like to have the Supplement IDS before the interview, I am telefaxing a courtesy copy of it along with as many class "C" references ("other art") that I can get through to your machine.

I will arrive in Washington on Saturday, and consequently will not be available at the above telephone number.

Best regards,

Hal R. Yeager

THIS FACSIMILE TRANSMISSION IS INTENDED ONLY FOR THE USE OF THE ADDRESSEE AND MAY CONTAIN CONFIDENTIAL OR LEGALLY PRIVILEGED INFORMATION. If you are not the intended recipient, you are hereby notified that any use or dissemination of this communication is strictly prohibited. If you received this transmission in error, please notify us immediately by telephone so that we may arrange for the return of the documents to us at no cost to you.

**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: **Toshikazu MUKAIHARA, et al.**  
Serial No.: **09/513,702**  
Filed: **February 25, 2000**  
For: **SEMICONDUCTOR LASER DEVICE**  
  
Art Group Unit: **2877**  
Examiner: **Delma R. Flores Ruiz**  
Attorney Docket: **00120/HG (07742-34)**

**COPY****FAX COPY RECEIVED****FEB 09 2002****TECHNOLOGY CENTER 2800****SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**PURSUANT TO 37 C.F.R. §1.56 AND §§1.97-1.98**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The citation listed on the enclosed PTO-1449 Form is submitted under 37 C.F.R. §§1.97 and 1.98, and in compliance with the duty of disclosure as defined in 37 C.F.R. §1.56. The Examiner is requested to make these citations officially of record in the application. This Information Disclosure Statement is being submitted after the mailing of the first Office Action for the above-identified application and, to the knowledge of the undersigned, before the mailing of either a final action or a notice of allowance. Accordingly, it is believed by the undersigned that a petition and petition fee under 37 C.F.R. §1.97(d) are not required.

A check in the amount of \$180 is enclosed to cover the fee under 37 C.F.R. §1.17(p). The Commissioner is hereby authorized to charge any additional fees, or additional monies to cover an underpayment by the enclosed check or the inadvertent omission of this check, to deposit account No. 13-0201. A duplicate copy of this Statement is attached

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Serial No. 09/513,702

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herewith and is only to be used if the Office needs to make a charge against the deposit account.

Citations B2-B16 are Japanese Patent Documents in the Japanese Language. An English-language abstract and/or a translation of each of these Citations is attached to the front or back of the corresponding document. In addition, a translation of each Citations B3-B5, B9-B10, and B14-B16 is attached to the back of the corresponding document.

Japanese Published Patent Applications JP-9-9-83070 and P-9-260766 were previously provided in Applicants' first Information Disclosure Statement. Translations of these two documents have been subsequently obtained and are included herewith as Citations C25 and C26, respectively.

Citations C14, C16, C18, C20, C22, and C24 are in the Japanese Language. It is noted that Citations C13, C15, C17, C19, C21, and C23 appear to be the substantial English language equivalents thereof. Citations C14, C16, C18, C20, C22, and C24 are from the journal Furukawa Electric Review, whereas Citations C13, C15, C17, C19, C21, and C23 are from the journal Furukawa Review.

It is noted that the filing date of the Application precedes the publication dates of Citations C13, C15, C17, C19, C21, and C23. It is also noted that the filing date of the priority document for this Application precede the publication dates of Citations C14, C16, C18, C20, C22, and C24.

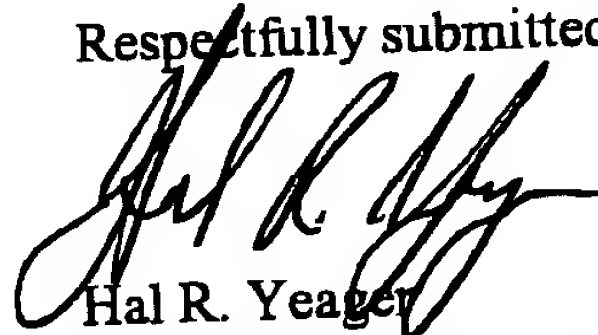
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This Information Disclosure Statement Under 37 C.F.R. §1.56 is not to be construed as a representation that any of the listed citations establishes, by itself or in combination with other information, a *prima facie* case of unpatentability of any claim in the above-identified application. Additionally, this Information Disclosure Statement is not to be construed as a representation that a further search of the art has been made by Applicants, or that additional information relevant to the examination of this application does not exist unbeknownst to Applicants.

Respectfully submitted,



Hal R. Yeager

Registration No. 35,419

February 9, 2002

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